

**REQUEST FORM AND FORMALITIES PRELIMINARY AMENDMENT FOR
FILING A PATENT APPLICATION UNDER 37 C.F.R. 1.53(b)**
(Submit an original and a duplicate for fee processing)

21909 U.S. PTO
10/632956
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THIS APPLICATION:		PRIOR APPLICATION:		TOTAL NO.
DOCKET NUMBER	FILING DATE	EXAMINER	ART UNIT	OF PAGES
P65697US1	August 4, 2003	Doan, Theresa D.	2814	5

Address to:

Honorable Commissioner for Patents
Mail Stop Patent Application
P.O. Box 1450
Alexandria, VA 22313-1450

August 4, 2003

This is a request for filing a divisional application under 37 C.F.R. 1.53(b) of prior application Serial No. **09/605,758** filed on **June 28, 2000**, entitled **SEMICONDUCTOR DEVICE HAVING A CAPACITOR AND METHOD FOR THE MANUFACTURE THEREOF** by the following inventors.

[1]	Family Name	First Given Name	Second Given Name
Full Name of Inventor	YANG	WOO-SEOK	
	City	State or Foreign Country	Country of Citizenship
Residence & Citizenship	Ichon-shi	Republic of Korea	Republic of Korea
	Post Office Address	City	State & Zip Code/ Country
Post Office Address	San 136-1, Ami-ri, Bubal-eub, Ichon-shi, Kyungki-do, Korea, 467-860		
[2]	Family Name	First Given Name	Second Given Name
Full Name of Inventor	YEOM	SEUNG-JIN	
	City	State or Foreign Country	Country of Citizenship
Residence & Citizenship	Ichon-shi	Republic of Korea	Republic of Korea
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[3]	Family Name	First Given Name	Second Given Name
Full Name of Inventor	YU	YONG-SIK	
	City	State or Foreign Country	Country of Citizenship
Residence & Citizenship	Ichon-shi	Republic of Korea	Republic of Korea
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Post Office Address	San 136-1, Ami-ri, Bubal-eub, Ichon-shi, Kyoungki-do, Korea, 467-860		

1. ☐ A Second Preliminary Amendment is enclosed.
2. ☒ Cancel claim(s) 12-22 for purposes of lessening filing fees.

The filing fee is calculated on the basis of the claims existing in the prior application as amended by 1 and/or 2 above.

	<u>Claims</u>	<u>Small Entity</u>	<u>Large Entity</u>
Basic Fee		\$375.00	\$750.00
Total Claims:	11 - 20 =	x 9 = \$	x 18 = \$
Ind. Claims:	1 - 3 =	x 42 = \$	x 84 = \$
<input type="checkbox"/> Multiple Dependent Claims		+140 = \$	+ 280 = \$
			Total \$750.00

3. ☒ The Commissioner is hereby authorized to charge fees under 37 C.F.R. 1.16 and 1.17 which may be required or credit any overpayment to Deposit Account No. 06-1358. A duplicate copy of this sheet is enclosed.
4. ☐ This application is filed under Rule 53(f) and therefore the ☐ Filing Fee ☐ Declaration is not enclosed. All authorization to charge Deposit Account No. 06-1358 for 35 C.F.R. 1.16 fees previously made is revoked.
5. ☒ A check in the amount of \$750.00 is enclosed.
6. ☒ Amend the specification by inserting before the first line the sentence:

This is a **divisional** application of prior application serial no. 09/605,758 filed on **June 28, 2000**.

- ☐ which in turn is
7. ☐ A verified statement claiming small entity status under 37 CFR 1.9 and 1.27:
- a) ☐ was claimed by way of a declaration filed in prior application Serial No. _____.
- b) ☐ is claimed by way of the attached declaration.
8. ☒ Priority of application Serial No. **1999-25003**, filed on **June 28, 1999** in **Korea** is claimed under 35 U.S.C. 119.
- a) ☒ Certified copy is on file in prior application, Serial No. **09/605,758**.
- b) ☐ Certified copy filed herewith.
9. ☒ The prior application is assigned of record to **HYUNDAI ELECTRONICS INDUSTRIES CO., LTD.**
10. ☒ The Power of Attorney in the prior application is to at least one of the following:
- Yoon S. Ham, 45,307; Harvey B. Jacobson, Jr., 20,851; John Clarke Holman, 22,769; D. Douglas Price, 24,514; Marvin R. Stern, 20,640; Allen S. Melser, 27,215; Michael R. Slobasky, 26,421; Jonathan L. Scherer, 29,851; Irwin M. Aisenberg, 19,007; and William E. Player, 31,409.
- a) ☒ The power appears in the original papers of the prior application.
- b) ☐ Since the power does not appear in the original papers, a copy of the power in the prior application is enclosed.
11. ☒ Petition to extend the life of the above prior application to at least the date hereof
- (One box) ☐ is being concurrently filed in
NOTE:(must be) that prior application.
(X'd) ☐ was previously filed in that application.
☒ is not necessary.

If a Petition for Extension of Time is necessary and the Petition and/or the check is not enclosed, this will act as the Petition and applicant herewith petitions the Commissioner to extend the time for response and charge any fees necessary under 37 CFR 1.17 (a)(1)-(5) to Deposit Account No. 06-1358.

12. ☐ New formal drawings are enclosed.

13. [x] Enclosed is a copy of the Information Disclosure Statement (IDS) and PTO 1449 which was filed in Serial No. 09/605,758, filed on June 28, 2000, which is relied on for an earlier filing date under 35 U.S.C. 120.
- a) [] More than one IDS was filed in the above Serial No. and copies of each IDS, less the documents, are enclosed.
14. [x] Enclosed is a copy of the PTO-892, issued in Serial No. 09/605,758, filed on June 28, 2000, which is relied on for an earlier filing date under 35 U.S.C. 120.
- a) [] More than one PTO 892 issued in the above Serial No. _____ and copies of each PTO 892, less the documents, are enclosed.
15. [x] Also enclosed are:
- A copy of the specification (19 pages) from prior application Serial No. 09/605,758;
 - A copy of the drawings (Figs. 1-4H) from prior application Serial No. 09/605,758;
 - A copy of the Declaration and Power of Attorney from prior application Serial No. 09/605,758; and
 - A copy of the Notice of Recordation of Assignment Document and a copy of the Assignment/Change of Name with cover sheet from prior application Serial No. 09/605,758.

Address all future correspondence to:

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or
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Enclosed is a copy of the prior application including the Declaration as originally filed, application, namely Serial No. 09/605,758, filed on June 28, 2000. The entire disclosure of the prior application is hereby incorporated by reference.

Respectfully submitted,

By: 

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Signed this 4th day of
August, 2003

☒ Attorney or Agent of record

☐ Filed under 37 CFR 1.34(a)